

Checklist for Requested Data for Management Fee Study

ATI Capital Group of Colorado, LLC

- Entity Name, Address, Phone Number and Fax Number
- Name, Address, Phone Numbers (including cell phone) and Email of Principal
- Description of Services (use the Description of Services provided by ATICG)
- List all entities owned whether wholly or partially owned, to include detail of ownership.
- Description of Entities Owned
- Industry
- Corporate Tax Treatment of Each Entity Owned (C, S, LP, GP, LLC, PA, PC, Sole Proprietorship)
- Most recent tax return
- Most recent year end financial statements
- Recent interim financial statements
- Signed Engagement Agreement
- Professional Fee as required in Engagement Agreement

Entity: any type of company or business organization owned by the principal

The Description of Services can be downloaded at the ATICG website: www.aticolorado.com, click on Professional Services, then click on Executive Compensation Planning and the document will be at the bottom of the page to download.